



Soils' seismic property research on the basis of investigation of their nonlinear properties

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Abstract

The most important problem of seismic microzonation (SMZ) is to prove the assessment of soil conditions' influence on the response of buildings and constructions. On the modern stage, SMZ can not remain in "linear" positions, and empirical tools of SMZ take into account nonlinear soil properties. A number of instrumental techniques for nonlinear phenomenon investigation in soils are given in the paper. New parameters describing nonlinearity are introduced and their practical usage efficiency is shown.

1 Introduction

Investigation of nonlinear phenomena in soils, which began in Russia nearly 50 years ago, became the peculiar stimulus of modern investigations of the complex of geophysical indices, which are observed at strong and destructive earthquakes. These investigations have not only a scientific interest. It is economically and vitally important to predict soil and construction behavior (from the point of view of their adequacy for the expected seismic impact). The present investigation is the elaboration of the main scientific principles, which allow one to assess the nonlinearity of different soils (on their lithologic compound and physical condition) for seismic microzonation (SMZ) purposes. Experimental and theoretical methods are used in the work to such an extent, which is necessary in order to develop soundly the physical foundations of the corresponding tools of the instrumental method of SMZ.

The most important problem of SMZ is to prove the assessment of this fact: how the soil conditions influence the reaction of buildings and constructions that underwent an intensive seismic impact. Engineering macroseismic observation of the territories, which are located in the epicenter zone of strong and destructive earthquakes, shows that real intensity effects often do not correspond to the expected results, which are obtained with the help of different SMZ methods. It is explained in many respects by a failure to take account of nonlinear phenomena, originating in soils at strong earth-

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quakes. At the modern stage of the development, the SMZ can not remain in “linear” positions, although it must be noted that empirical tools of SMZ take into account (or must take into account) nonlinear soil properties. It lies in the essence of SMZ. Obviously, the question consists in the following: how exactly is the soil nonlinearity taken into account and how impartial is this taking into account? The most adequate taking into account of nonlinearity (essential characteristics of natural phenomena) will allow one to approximate the corresponding anti-seismic measures to real features of seismic effects at strong earthquakes.

As a result of the fact that strong earthquakes are a rare phenomenon, the most proven decision is the usage of the explosion tool from the SMZ instrumental tools. Moreover, even the presence of a strong earthquake unit record (which is of great value for SMZ) can not characterize the expected soil behavior, owing to the ambiguity, particularly, of the parameters of the expected impact. However, usage of the explosion tool is very limited: it is difficult for realization in urban territories.

The necessity of developing the SMZ tool (which allows one to assess soil influence on earthquake seismic effects), based on investigation of nonlinear soil properties with the help of modern non-explosive sources of high power, is obvious under such conditions.

The investigation of the SMZ method, which is based on the creation of intensive seismic vibrations using non-explosive impulse and vibration sources, allowed one to disclose simple and effective indices of soil nonlinearity, to develop the techniques of their assessment (on the basis of the existing records of strong and destructive earthquakes' study). The mechanisms of nonlinear phenomena effects, and their interconnection with the features of wave fields originating from different sources on the soil stratum surface, were investigated, and correlations between the intensity of the macroseismic effect and soil nonlinearity, which is observed at work with non-explosive sources, were determined for solving of the mentioned problems.

Thus, the methods, which allow one to assess the intensity increment of soils of typical areas in zoned territory, with taking into account of nonlinear soil properties, are

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worked out and practically realized. For the first time on the basis of a nonlinear approach, the instrumental tools of SMZ, which allow one to assess and take into account (with intensity calculation) the degrees of nonlinearity and non-elasticity of soils, comprising the zoned territory, are obtained and approved; the indices of proper absorption and soil nonlinearity are introduced, based on the usage of connections between wave field spectral characteristics and the features of soil conditions; the universality of the introduced index characters is determined, which causes the opportunity of their usage in practical purposes; in order to calculate the intensity increment at strong earthquakes with taking into account of nonlinear transformations of wave fields close to a day surface, the following empirical formulas are introduced: (a) the formulas that use nonlinearity indices and elastic and non-elastic properties of the medium, and also (b) the formulas that connect the squares of normalized and real spectra with parameters of seismic impact (magnitude, acceleration, epicentral distance, duration and weight–average frequency of ground vibrations).

With the help of the developed tools, one can determine an intensity increment more exactly than with the help of the traditionally used tools, particularly, on dispersal (soft) soils, which are easily subjected to the external impact. The practice showed that the offered approach, which is, in essence, universal, can be used practically under any conditions (in cities nearby and in the territory of the responsible objects). And finally, it is economically feasible to use the developed methods in order to assess the soil seismic properties (on the basis of the study of their nonlinear indices) with the help of modern powerful non-explosive sources.

Such features of the nonlinear approach show its prospects for SMZ. The main principles of the approach by usage of modern non-explosive sources with high power were the basis for the realization of SMZ works of a number of city territories of Georgia and Russia: Tbilisi, Rustavi, Gori, Tkibuli, Kutaisi, separate areas of the Bolshoi Sochi and, in addition, the territories of functioning and designed sites of the Novovoronezh atomic power plant (APP).

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The results of the usage of the still improving approach show that it is highly efficient and practically feasible at SMZ to use modern non-explosive sources, which allows one to take into account nonlinear soil properties and considerably increase the efficiency of SMZ.

The used tools of the instrumental method of SMZ are based on the experience of the study of macroseismic effects at strong earthquakes and on the investigation of how seismic wave fields, which originated as a consequence of weak local and distant earthquakes, are distorted, depending on soil conditions, relief, and soil stratum structure.

Strong seismic motions, transformed from the original form under the influence of soil conditions, are studied on the basis of rough quantitative non-instrumental indices. The instrumental tools are used in order to assess features of weak movements of soil and to predict, with their help, the effect of strong earthquakes. A natural way of development of the instrumental method is by direct taking into account of nonlinear characteristics of soil immediately through the nonlinear distortions of a wave field, which is generated by the standard impulse or vibration sources. This caused the development of SMZ instrumental tools based on accounting of nonlinear soil properties using modern non-explosive sources. Modern mobile sources with high-impact stability allow one to obtain considerably more reliable results.

2 Normalized and real spectra of vibrations

The reasons for transformation of the shape and the spectrum of a seismic wave, connected with nonlinear soil conditions, can be represented in the following way.

The primary wave (P wave), incoming to the Earth's surface from the earthquake focus, undergoes a shape change in the top part of the cross section. These changes are connected with peculiarities of the structure and properties of the upper stratum of the sediments: the presence of a weathering zone, high absorption and nonlinear distortions.



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The weathering zone, which influences the seismic effect, has, as a rule, a different power (from several meters to 20–30 m), depending on geological conditions. The weathering zone influence on seismic wave amplitude can be calculated by means of geometric seismology laws taking into account the medium absorptive properties. This influence is expressed by the incident wave amplitude and the spectrum change in consequence of resonance phenomena in the top stratum, which has maximal influence on the wave field forming at the Earth's surface, and also in subjacent strata, which have, as a rule, less influence on shapes of body waves.

Resonance phenomena are shown by the increase in seismic impulse duration and the origin of maxima in their spectra at frequencies corresponding to constructive interference of the incident wave and the wave reflected from the day surface or from the bottom of the weathering zone. This condition is controlled by the ratio

$$\lambda/4 = H, \quad (1)$$

where λ is the wavelength and H is the thickness of the stratum.

Absorption in the soft top stratum of the soil is connected with the energy decrease in the high-frequency part of the spectrum. As a rule, this phenomenon is well taken into account by the exponential term

$$\alpha = \exp(-\eta f H/V), \quad (2)$$

where η is the decrement of the vibrations, f is the frequency of vibrations, H is the thickness of the strata, and v is the propagation velocity of the wave.

In softer dispersed soils, the decrement of vibrations is $\eta = 2-3$; therefore, significant changes in wave shape and its spectrum are observed in frequencies most of all:

$$f > 0.3-0.5V/H. \quad (3)$$

For the boundary value of the longitudinal velocity $v = 500 \text{ m s}^{-1}$ for stratum $H = 30 \text{ m}$, the frequency of vibrations can be assessed:

$$f \approx 0.3 \times 500/30 = 5 \text{ Hz}. \quad (4)$$

Above this frequency, the signal spectrum decreases quite extremely.

Both the resonance distortion of the wave and the absorption, considered here, are nonlinear processes, proceeding equally independently of the wave field intensity. Therefore, they can be assessed by means of seismic waves with low amplitude from close and distant earthquakes and explosions. This property significantly distinguishes linear processes from nonlinear ones.

Nonlinear change in the waveform depends on its intensity; it intensifies at the increase in the seismic deformation level.

At the incidence of the intensive primary wave P on the free surface, its detection takes place: the phases of compression and dilatation are transformed in different ways by the soft medium, whereas elastic modules of compression and dilatation are different (it is easier to dilate the medium than to compact it). Such a medium can be described by a “stress–strain” diagram, which has a breakpoint in zero and consists of two lines. This medium is referred to as “bi-modular”. This model of soft rock was offered by Nikolaev (1967). It was experimentally confirmed by A. Gvozdev and V. Kuznetsov in 1977. A considerable distortion of the seismic signal during its propagation through the bi-modular medium can be clearly observed in the thin surface soil stratum.

Let us imagine an intensive seismic primary wave falling on the surface. In the depth (H) of several and more tens of meters the component of dilatation, transferred by the wave, does not exceed lithostatic stress ρgH and only realizes partial unloading. At that the “two-modularity” of the medium is not observed, as the elastic modules, corresponding to compression, are predominant. At less depth, when dilatation exceeds ρgH in its value, the realization of the elastic deformations takes place at the elastic modules of dilatation, which are smaller than the modules of compression.

Nonlinear distortions of the wave shape, which take place in the bi-modular medium, occur in the spectrum “spreading” to the areas of low and high frequencies (Nikolaev, 1967; Vasilyev et al., 1969; Trifunac, 1994). The energy transition to the low-frequency area is connected with modulation, difference in character of soil movement up and down as a result of different modularity. Constant deformation of medium volume di-

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latation of intensive vibrations takes place. It is called a “seismic-radiative” deformation (Vasilyev et al., 1987). At registration by a seismograph, which is only sensitive to the variable field, constant deformation is not registered. At the same time the effect of the spectrum saturation by low frequencies is obvious. The spectrum saturation by high frequencies is connected with both the effect of detecting and the nonlinear interaction and self-interaction of seismic waves (Nikolaev, 1987; Engelbrecht and Feldman, 1987; Beresnev et al., 1987). Earlier attention has also been paid to the opportunity of spectrum saturation by high-frequency components (Mandelstam, 1950).

Thus, the spectrum spreading of the seismic impulse of the longitudinal wave, which takes place at strong seismic deformations, is an indicator of the nonlinear wave shape distortion. This phenomenon accompanies the change in the primary seismic wave shape and chaotic interference vibrations. The spectrum width is a simple quantitative measure of this process and of nonlinear soil properties, accordingly.

Actually, let us imagine that the initial spectrum (i.e., the spectrum undistorted by nonlinear processes) has a pronounced resonance shape (Fig. 1a). The signal distorted by nonlinear phenomena is characterized by the increment of the spectrum square (Fig. 2b) or expansion.

It is clear that the increase in vibration frequencies Δf depends on seismic wave intensity. In order to bring all the measures to equal intensity, the way of standard seismic source usage (vibration or impulse non-explosive sources) and the way of standard conditions of measures have been chosen. The modern Russian vibrator equipment SV-10/100 initiates seismic vibrations with an intensity of approximately 7 points in the epicentral zone. At such an intensity, the above-mentioned nonlinear processes (whose quantitative measure can be the width of the normalized spectrum wave range) occur in full measure.

In spite of the fact that this characteristic is a sufficiently rough representation of the measure of nonlinear seismic properties and nonlinear transformation of seismic signals, nevertheless, it is quite acceptable at the first stage of investigations, when the main quantitative ratios are determined. The efficiency of this quantitative measure



usage demands experimental checkout of the empirical formulas, made on the basis of normalized spectrum width at those sites, where the real seismic effect of strong earthquakes is known.

On the other hand, the analysis of the accelerogram of the Racha earthquake and the corresponding vibration spectra (amplitude Fourier spectra) has shown that a very interesting parameter with its correlation properties is singled out: a normalized spectrum of ground vibrations and, to be more precise, the square of the area under the spectral distribution curve of the spectrum, scaled on a maximal amplitude or peak (Fig. 2).

Thus, the square of the normalized spectrum is

$$S_N = \frac{1}{A_0} \sum (A_i f_i), \quad (5)$$

where A_0 is the maximal amplitude of the spectral distribution curve, and A_i and f_i are the amplitude and the frequency of the i component of the spectrum.

Because of the fact that the value of the ordinate remains constant for all the considered cases, the square of the normalized spectrum will be proportional to the number of separate components; i.e., it characterizes the “extension” of the spectrum, its width.

In the result of processing of the data, registered in areas of different lithologic structures of soils, the dependence of the normalized spectrum squares S_N on acceleration has been received. The data were approximated by a broken line and also in the form of a polynomial of n order on the basis of mean-square deviation and other criteria (Dzhindzholava, 1986; Leman, 1964). S_N parameter changes most monotonously in the data records of station Ambrolauri. The character of changing for the given dependence for records of station Oni differs extremely (for all three components) from similar dependences for the other areas (Fig. 2). It must be noted that station Oni was located on rocky soil.

As has already been noticed, the acceleration value has a wide application in various types of engineering seismology analyses and the seismic stability theory. At the same

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time, the bad correlation of acceleration with emitted seismic energy inversely related to the frequency of vibrations (easily determined in the record) is often quite known. It sets conditions for the investigation of the dependence of vibration frequency on acceleration. A very complex character of the given dependence for separate areas must be noted (stations Zemobari and Iri).

Thus, the conclusion about the possibility of high frequencies of vibrations for both small and large acceleration values is representative (Fig. 2). Taking into account that, as a rule, the frequencies themselves are very closely connected with magnitudes, the ambiguity of correlation between magnitude and acceleration is explainable. At high acceleration indices, vibration frequency dependences are similar for rocky and soft soil.

Thus, at small initial values of accelerations, the maximal vibration frequency sharply decreases with acceleration increase. At that, low-frequency content is usual for vibrations (Fig. 2). Thereafter (with acceleration increase), the growth in the corresponding vibration frequency is observed. This fact explicates the information known in the literature – that at small frequencies, the acceleration has a larger impact on a construction (i.e., the level of the acceleration amplitude is high enough here) and the absorbing of energy is lowest, as the vibration frequency is small. “Average amplitude levels” of accelerations may cause large damage of relatively flexible buildings, whereas high-frequency accelerations, which exceed some “critical area” of their values, rapidly diminish due to high absorption. Obviously, observed small damages at high-frequency vibrations are sometimes explained by this fact.

Actually, due to the highest absorption in surrounding soft soils, a hazardous amplitude level for a construction cannot simply be reached. This explains the fact that building damage is minimal on the soils with high acceleration values. At Niigata, earthquake accelerations were small (soft sandy soils, which are characterized by very high absorption for high frequencies, are present).

Thus, the greatest impact on soil is realized at low frequencies corresponding to high magnitudes or energies.

etc., we must speak not about bad correlation, but about very unexpected small data scattering. Therefore, we can come to a conclusion about the high content richness of the considered parameter.

The S_N value has a frequency dimension and represents the width of the wave range of seismic vibrations. Due to the frequency-selective character of absorption and the complex frequency-dependent character of nonlinear distortions of seismic vibrations, the value of the normalized square of vibrations S_N characterizes the development of both processes.

At the same time, the width of the wave range gives an insufficient conception of the predominant frequencies of the record and their dynamics connected with the evolution of the wave shape at its propagation in a real medium. As the result of this, one more parameter – the “weight–average frequency” of vibrations – is used.

Substantially, three parameters of the spectrum, A_0 , S_N and f_{aw} , are its major characteristics. The evolution of even these greatly averaged parameters in the top part of the sedimentary stratum occurs in a complicated manner, and it is affected by absorption heterogeneity and medium nonlinearity.

In SMZ problems, changes in seismic wave fields at relatively small distances on the order of fractions of the wavelength are considered. Therefore, we may neglect the influence of intensity change in consequence of geometric divergence.

The influence of large and average heterogeneities, which have a size on the order of the wavelength and more, is taken into account by differential assessments of vibration intensity on different types of soils. The influence of small heterogeneities, which have a size on the order of wavelength fractions, is not usually taken into consideration.

The features of changes in the seismic wave spectra, connected with their absorption and nonlinear distortion, are generally formed in the top thin soil layer, varying from several to a dozen meters. Even at high linear absorption at such distances, the energy loss on absorption is relatively moderate. High frequencies have a significantly higher absorption than low frequencies; the spectrum decrease by high frequencies occurs

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and, as a result of that, the width of the S_N band, the weight-average frequency f_{aw} and the vibration amplitude A_0 increase.

The nonlinearity influence on the change in the spectrum characteristics of seismic vibrations is considerably different.

Ideally, at weak soil nonlinearity in propagating harmonic waves, the form distortion appears, which can be characterized by the growth in the amplitude of the second harmonics A_2 relative to the amplitude of the major tone A_1 :

$$A_2/A_1 = M' K r, \quad (7)$$

where $M' = 2\pi f_{aw} A_1 / V_s$ is the Mach number, r is the distance, and K is the nonlinearity factor.

Thus, the nonlinear transformation of the wave shape happens sufficiently smoothly with a distance, and even at large values of K and M' , these changes will be relatively small. The spectrum spreading to the high-frequency region takes place due to the aliquot harmonics origin. In many cases, nonlinear distortions also appear in the form of the sub-harmonic of low-frequency harmonic vibrations; the given process is accompanied by the spectrum spreading to the low-frequency region.

So, at propagation through the elastic-nonlinear medium, the spectrum spreading of the seismic wave, the increase in S_N and f_{aw} values, and the decrease in A_0 take place. In order to take into account the seismic energy distortion in the top part of the soil stratum, the theory of distorted damping is used. According to the given theory, the loss coefficient η (the value that is reverse to the Q-factor) is equal to the ratio of the spectrum width and the resonance frequency (in many practical cases, the resonance frequency is close to the weight-average frequency; the spectrum width is a normalized spectrum square):

$$\eta \approx S_N / f_{aw}. \quad (8)$$

The loss coefficient increases with the deformation growth; therefore, the seismic energy absorption is also a nonlinear process. At short distances of intensive seismic

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wave propagations, the role of absorption in the wave field change is less than the nonlinearity role, which is sharply displayed at the surface of a loose medium.

A change in the deformation sign at wave propagation through a bi-modular medium must be accompanied by specific effects: at that, both primary and shear waves undergo (experience) a sharp change in drift velocity and acceleration velocity, as elastic deformation energy must be adapted to the new modules during short periods in comparison with a typical vibration period. In vibration processes, this will be expressed in the form of rapid spreading of the spectrum width to both high-frequency (HF) and low-frequency (LF) directions and also in the appearance of the constant component (constituent). Intensive HF impulses will originate in longitudinal and transverse vibrations of soils; parameters A_0 and f_{aw} sharply increase.

On solving the SMZ problem, the comparative intensity change at one site relative to another reference site is assessed. Each individual assessment of intensity depends on the epicentral distance, Earth's crust structure on the route of the focus–observation point of seismic wave features, emitted by the focus. The most consistent relative assessments of intensity differences conform to the cases when the compared observation points are at relatively short distances from one another, so the factor of geometric deviation and wave field distortion by large medium heterogeneities, located on the path from the source to the surface, makes an equal contribution to the seismic effect formation.

The width of the spectral band is connected by simple ratios with the seismic parameters of soil, such as the transverse wave velocity (v_s), the coefficient of absorption (α) and the vibration decrement (η). At the propagation distance r , the energy increase is assessed by the exponent $\exp(-\eta f_{aw} r / v_s)$, and then

$$\eta f_{aw} r / v_s = \eta r / \lambda = \alpha r. \quad (9)$$

In the frequency range 0.5–30 Hz for sedimentary soils, it can be supposed that α is proportional to f_{aw} . At small exponent indicators, the absorbed energy is proportional to αr . Taking into account the hysteresis character of attenuation, we get the ratios

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$$S_N = \eta f_{aw}^2 r / v_S,$$

$$S_N / f_{aw} = \eta f_{aw} r / v_S = \alpha r.$$

For some chosen distance ($r = \text{const}$), it can be recorded as

$$S_N / f_{aw} \sim \alpha. \quad (10)$$

Thus, the quantity of the ratio between the normalized spectrum and the frequency is directly proportional to the energy absorption by the given stratum (Zaalishvili, 1996).

It is interesting to consider the dependence of a “pure” absorption value on acceleration (Fig. 3). It is obvious that at the initial stage, i.e., at low influence, the absorption increases with influence growth, but after acceleration $a = 0.08$ g, absorption begins to decrease, and reaches a minimum at acceleration $a \approx 0.2$ g. On further acceleration, the growth absorption index increases again, but with less velocity. Similar curves are obtained for other areas formed by soft soils (stations Zemobari, Iri, etc.).

On the other hand, at nonlinear soil response the spectrum spreading to the HF and partly to the LF spectrum regions is typical. The spectrum spreading to the LF regions, caused by the absorption phenomena, in soft soils, exceeds the spreading connected with soil nonlinearity, and so the absorption “masks” nonlinearity. In rocks where the absorption value is much lower, the medium nonlinearity appears more distinctly. At the same time, the assessment of nonlinearity from the point of SMZ view is interesting exactly for soft soils. Moreover, at usage of the normalized spectrum square, the opportunity to study the spectrum shape is absent. In connection with the information mentioned above, it is necessary to introduce another index in order to assess the nonlinearity degree of soils.

In this connection, the concept of a “real” vibration spectrum square has been introduced. It is a “vibro-spectrum” or an ordinary amplitude spectrum of Fourier, calculated on the seismogram. The real spectrum square S_r , according to the definition, is equal to

$$S_r = S_N A_0. \quad (11)$$

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The analysis of earthquake instrumental records shows that the value S_p is in close connection with soil nonlinearity. At reaching accelerations $a \approx 0.1$ g (Fig. 4), the dependence of S_p on acceleration changes sharply assumes a nonlinear character (“soft nonlinearity”). For rigid soils, “rigid” nonlinear dependence is typical.

5 Taking into account Eq. (11), Eq. (10) will take on the following form:

$$S_r \sim \alpha A_0 f_{aw}. \quad (12)$$

Thus, the real spectrum square S_p is an integral characteristic of absorption and nonlinearity phenomena, which appear in soil strata. It confirms the similarity of absorption and nonlinearity phenomenon influence on the spectrum shape observed at experi-
10 ments.

The ratio of the real spectrum square and the absorption will obviously give the so-called “pure” nonlinearity:

$$S_r / \alpha \sim A_0 f_{aw}. \quad (13)$$

As has already been noted, the absorption in soft soils is directly proportional to the vibration frequency $\alpha \sim f_{aw}$. Then, Eq. (13) by analogy can take on the form
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$$S_r / f_{aw} \sim A_0 f_{aw}. \quad (14)$$

At that, the characteristics of soil nonlinearity can be directly assessed using Eqs. (13) or (14). For practical purposes, the usage of the latter relation is evidently more preferable.

20 So, the spectrum amplitude and the weighted average frequency product, being the simplest and most easily measured value, characterizes nonlinearity – a new quality of soil. In other words, new indices, which are in close connection with direct indices of absorption and soil nonlinearity, have been introduced. In contrast to the traditional indices, the new ones are directly measured on the spectra of ground vibrations.

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the physical nonlinearity in the form of N ($K \approx 0.56N$) were calculated by the special formula (Nikolaev, 1987)

$$K = \rho V(\Delta V/\Delta P), \quad (18)$$

where K is the coefficient of soil strain sensitivity, ΔV is the change in P wave velocity, and ΔP is the pressure change.

For strain sensitivity calculations, the pressure change was taken as $\Delta P = 0.08$ MPa. Weighted average values ΔV_1 and ΔV_2 of changes for clay (soil density $\rho_1 = 1.8 \times 10^3 \text{ kg m}^{-3}$) and macro-fragmental soils ($\rho_2 = 2.1 \times 10^3 \text{ kg m}^{-3}$) were 50 and 5 ms^{-1} , respectively.

A notable difference in the intensity effect ($\Delta I = 1$) made it possible to obtain an expression for the calculation of the increment of seismic intensity:

$$\Delta I = 3 \lg \frac{K_1}{K_2}, \quad (19)$$

where K_1 and K_2 are strain sensitivity coefficients.

By substituting values of the coefficients into Eq. (19), we obtain (Zaalishvili, 1996)

$$\Delta I = 3 \lg \frac{\rho_1 V_1 \Delta V_1}{\rho_2 V_2 \Delta V_2}, \quad (20)$$

where $\rho_1 V_1$ and $\rho_2 V_2$ are the seismic rigidities of the compared soils, and ΔV_1 and ΔV_2 are the velocity changes in compared soils stipulated by their strain sensitivity.

It should be noted that the resulting ratio, despite the form similarity to well-known traditional SMZ methods of seismic rigidities, according to internal content, differs significantly. So, here explicitly in the formula of the intensity increment, “nonlinear” member ΔV is included. The introduction of this parameter allows us to differentiate the seismic properties of the column in its degree of deviation from the linear behavior.

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In conclusion, let us return to the evaluation of nonlinearity and compare it with an estimate of the nonlinearity in Eq. (20), the resulting assessment of the phenomenon of strain sensitivity.

Let us take our mind off the intensity increments or intensity, assessing the “under-
5 logarithmical” value in Eq. (20):

$$\frac{\rho_1 V_1 \Delta V_1}{\rho_2 V_2 \Delta V_2} = \frac{1.8 \times 10^3 \times 200 \times 50}{2 \times 1 \times 10^3 \times 800 \times 5} = 2.12. \quad (21)$$

On the other hand, accounting that the experimental studies of strain sensitivity were performed at the same sites where the features of the spectra were studied using the appropriate vibro-spectra parameters, finally, one can obtain

$$\frac{A_1 f_1}{A_2 f_2} = \frac{0.8 \times 30}{0.2 \times 55} = 2.18. \quad (22)$$

Thus, the values of the nonlinearity relationships practically coincide. This will undoubtedly increase the correct use of both methods for practical purposes. Furthermore, based on the known value of the intensity effect, the following expression can be written for calculation of the seismic intensity increment:

$$\Delta I = 3 \lg \frac{A_i f_i}{A_0 f_0}, \quad (23)$$

where ΔI is the increment of seismic intensity, and $A_i f_i$ and $A_0 f_0$ are the product of the peak value of the spectrum by the weighted average vibration frequency of the compared soils.

Thus, the formulas are for calculating the increment of seismic intensity based on the degree of nonlinearity of soils; i.e., degrees of deviation from linear–elastic behavior are obtained.

The appearance of constant component of soil field of displacements in the zone of intensive dynamic impacts takes a special place amongst nonlinear effects. Due

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to the clear nonlinear connection strain deformation at the border of the half-space there exist an inequality of the phases of low pressure and contraction. It is expressed in the origination of the so-called seismic-radiation power, which leads to the typical soil elevation. The investigations showed that at vibration source impact on soil the constant component, which can be measured on the value of stratum surface incline (which decreases with the distance from source, Fig. 7) is formed in the soil.

Impact intensity variation also considerably changes the inclination value (Fig. 8). And, finally, the effect directly depends on soil lithologic compound. Higher values of the angles of inclination are corresponded to weaker soils, i.e. if the pressure change in the vibrator cylinder for more solid (rock) soils leads to the minimum palpable effect, than the effect for the softer soils is quite perceptible. At the maximum pressure of the system of hydrocylinder (180 atmospheres) for sand and asphalt, which is overlying the mentioned sand, the soil low pressures increase quite sharply.

Usage of the value of constant component according to the Fig. 8 has quite clear physical meaning. For example, here the intensity increment of clay soils of relatively weak weathered rock is 2° according to the formula:

$$\Delta I = 3 \lg \theta_i / \theta_0, \quad (24)$$

where θ_i and θ_0 are the inclination angles of the Earth's surface.

In order to apply Eq. (24), it is necessary to use a vibratory energy source. Already the first investigations showed the sufficient reliability of the data and the undoubted prospectivity of the approach. Unfortunately, generalizations of the experimental work with the mentioned parameter were not carried out. Nevertheless, it is possible to recommend it for practical seismic microzonation under the condition of its usage together with the other tools of the instrumental method of SMZ. The offered tool, undoubtedly, will allow one to obtain an important and original index at SMZ, considerably increasing the reliability of the final results.

4 Seismic microzonation based on accounting of inelastic soil properties

The estimation of potential soil non-elasticity adequately and physically proved at intensive seismic loadings is the most important problem of SMZ as soil liquefaction and differential settlement of the constructions are observed at strong earthquakes (Niigata, 1966; Kobe, 1995).

For direct assessment of soil non-elasticity the specific scheme of the realization of experimental investigations (Fig. 9a) with gas-dynamic impulsive source GSK-6M (with two radiators) was used. Chosen location of the longitudinal profile made it possible to impact sequentially by two emitters from near and somewhat far radiation zones. The HF component that quickly attenuates with distance (Fig. 9b) prevails in the ground vibrations spectrum, caused by near emitter. In case of distant emitter influence to the ground surface, the LF component prevails in the vibration spectrum (Fig. 9c). Otherwise stated, at nonlinear–elastic deformations, the main energy is concentrated in the HF range of the spectrum and at non-elastic deformations in the LF range. A symmetrical form is usual for the signal spectrum in the far and practically linear–elastic zone.

For the given source elastic linear and nonlinear vibrations are exemplified by the permanency of the real spectrum square, which is the value index of particular source energy, absorbed by soil (warped by the source). Using the analysis of strong and destructive earthquake records and also the analysis of specially realized experimental impacts it was obtained that at non-elastic phenomena spectra square of corresponding ground vibrations is not the constant value. It may decrease and the more, the less the soil solidity is and the greater the influence value is.

So as to estimate soil seismic hazard accounting the values of their non-elasticity source the whole number of new formulas (Zaalishvili, 1996, 2000; Zaalishvili et al., 1996) was obtained using a vibratory energy:

$$\Delta I = 2.4 \lg[(S_{ri})_n (S_{r0})_d / (S_{ri})_d (S_{r0})_n], \quad (25)$$

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tem gets additional intake, which preserves it from the future damages. At continuing introduction of the energy, the system will be more damaged, thereby increasing its intake until the seismic impact does not stop or the damage level becomes incompatible with its bearing element integrity, and the system self-destructs. In this connection, very important problem is to assess adequately seismic absorption of energy by physical systems of different types or by their combinations (soils, buildings, constructions, etc.), which take part in forming of strong earthquake intensity. Theoretical solutions of the given problem often considerably differ from the real results. It causes great practical and scientific interest to the results of analysis of the instrumental records of strong movements.

5.1 Soft and firm soils

What is the difference between soft and firm soils? For the first time the interconnection of a number of different indices of ground vibrations was investigated by the author on the data of station at Racha earthquake in 1991. It was determined that simple quantitative measure of spectrum energy absorption is the square of normalized vibration spectrum (Zaalishvili, 2000, 2001).

The square of normalized vibration spectrum for the firm soils (station Oni) increases and in the firm soils (station Iri) the square decreases with vibration acceleration (Fig. 2a). Maximum amplitudes of vibration spectra of soft and firm soils are in direct proportion to vibration acceleration (Fig. 2b).

Vibration acceleration as the index of influence is widely used in different investigations in engineering seismology and earthquake engineering. However, its often bad correlation with earthquake magnitude, which is in inverse ratio to the ground vibration frequency, is well known. As a consequence of analysis, it was determined that the ratios, where the weight-average value of vibration frequency is used instead of maximum values of vibration frequencies, are characterized by considerably less variety in the correlated parameters (Zaalishvili, 1986).



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The dependence of real vibration spectra squares of soft soils (a) and rocks (b) on acceleration is shown in the Fig. 10. One can see that the square or real vibration spectrum for rocks increases with the growth of vibration frequency, and for soft soils the square decreases (Fig. 10a). It is interesting to compare the mentioned dependences, which describe the behavior of viscoelastic material, where a real part of the solution of motion equation corresponds to an insignificant rigidity decrease with the frequency (Fig. 10b). Good correspondence of experimental and theoretical data was determined at their comparison.

So, first of all, the square of a real vibration spectrum is a reliable indicator of the physical condition of the medium and, in the second place, it describes the medium deformability or its behavior deviation scope from the linear elastic law of Hooke.

Thus, the square of the real vibration spectrum is an important index of soil nonlinear behavior at different impact levels.

In this connection, it must be noted that, for the SMART 1 system (Sect. 2), considerable nonlinearity is displayed from the acceleration $a = 0.1$ g (Fig. 11). Similarly, at the acceleration $a = 0.1$ g, the “break” in the dependence curve of the square of the real spectrum on acceleration for station Zemobari begins (Fig. 4b).

5.2 Weak and strong soil motions

What does the strong motion mean and how does it differ from the weak motion? At strong motion, clear reconstruction of the physical system begins to this or that extent. From the point of view of earthquakes, the influence of nonlinear phenomena on seismic effect, i.e., earthquake intensity, is clearly displayed at strong motion. In 1996, we experimentally investigated in the area, composed of powerful sediments, near the city of Noviy Voronezh, how the ground vibration spectrum depends on impact level (Zaalishvili, 2001, 2009). A powerful gas-dynamic non-explosive source GSK-6M with two emitters of impulse vibrations was used as the vibration source.

The analysis of vibrations, initiated by near emitter, shows that the main energy in the near zone of the source is contained in the range HF field, which quickly attenuates

$$\alpha \sim f_w t / \sqrt{M}, \quad (29)$$

where t is the vibration duration, and M is the earthquake magnitude.

In rocks or firm soils,

$$\alpha \sim f^2 t \sqrt{ar}, \quad (30)$$

5 where a is the peak of soil acceleration, and r is the epicenter distance.

So, absorption of vibration practically does not depend on acceleration in soft soils. Magnitude fully determines its level: the larger amplitude the less absorption. Absorption is in direct proportion with soil acceleration in rocks. Such “dualism” of seismic impact means that at calculation of behavior of buildings and constructions, which are raised on soft soils, it is necessary to realize energy calculation, i.e., by usage of energy introduction of definite reference energy and for buildings, which are raised on rocks – accelerations.

15 It is important to use energy characteristics of object motions at strong seismic and, obviously, dynamic impacts. The results of macroseismic observations of strong and destructive earthquake consequences point to that fact. On the other hand at comparison of vibration parameter in the form of the square of real spectrum S_R for the corresponding soils from soft soil of Eqs. (10), (14) and (28) and taking into account that $A \sim M^{2.5} \sqrt{a}$ (Zaalishvili, 2000), we have the ratio

$$\Delta I = K \lg \frac{f_{wi}^2 M_i^2 t_i}{f_{w0}^2 M_0^2 t_0} \sqrt{\frac{a_i}{a_0}}, \quad (31)$$

20 where f_{wi0} is the weight–average vibration frequency at different magnitudes, respectively; M_{i0} are magnitudes of different impacts, accordingly; and a_{i0} is the value of soil acceleration at different magnitudes, accordingly.

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Eq. (30) allows one to assess the influence of impact energy change (magnitude) on the value of the intensity increment on the given soil. In other words, we obtained the expression for the direct calculation of a nonlinear increase of the given soil.

Furthermore, the expression is obtained that allows one to determine how energy impact influences the intensity increment for soft soils, comprising different areas of the territory (Zaalishvili, 2004):

$$\delta I = 2 \left\{ \lg \frac{M_1^2 t_{i1} f_{wi1}^2}{M_2^2 t_{i2} f_{wi2}^2} \sqrt{\frac{a_{j1}}{a_{j2}}} - \lg \frac{M_1^2 t_{01} f_{w01}^2}{M_2^2 t_{02} f_{w02}^2} \sqrt{\frac{a_{01}}{a_{02}}} \right\}, \quad (32)$$

where δI is nonlinear increase at variable impact level, $\delta I = \Delta I_{ni} - \Delta I_{n0}$; ΔI_{ni} , ΔI_{n0} is nonlinear increase for investigated and reference soils, accordingly, degree; t_{i01} and t_{i02} are the duration of vibrations of investigated and reference soils at (n) and ($n + 1$) earthquakes (with magnitudes M_1 and M_2), accordingly, s; f_{wi01} and f_{wi02} are weighted vibration frequencies of soil under investigation and reference soil at (n) and ($n + 1$) earthquakes, accordingly, Hz; and a_{i01} and a_{i02} are vibration accelerations of investigated and reference soils at (n) and ($n + 1$) earthquakes, accordingly, ms^{-2} .

Let us consider the example. The results of the comparison of the engineering macroseismic investigation in the Racha earthquake epicentral zone (Georgia, 1991) and the parameters of instrumental records, which were obtained by the SMACH network, under different soil conditions, are given in Table 1. The calculations of intensity increase were carried out by means of the above-considered ratio.

One can see well that, with earthquake magnitude increase, the intensity increment decreases. It explains in many respects the considerable difference in the features of soil vibrations in near and far zones. So, the small difference in seismic effects even between the soils with quite various seismic properties is well known. The nonlinear stress–strain relationship of soft soil causes unlike distortion of the phases of compression and stretching and the increase in the phase of low pressure in the softer soils, which leads to the dependence of dynamic indices of soil motion on the impact energy.

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But, let us return to Eq. (31). Let $M \sim E$ (artificial source energy). Taking into account that, for artificial vibrator $M_i \approx M_0$, $t_i \approx t_0$, and $a \approx A_2$, we got the expression, which is similar to Eq. (15),

$$\Delta I = K \lg(A_i f_{wi} / A_0 f_{w0}). \quad (33)$$

Taking into account the impact level on calculation of the intensity increment, we actually take into account soil nonlinearity. At that, the formula for intensity increment computation based on the comparison exceptionally of indices of the corresponding soil nonlinearity degree can be obtained in terms of the connection between the parameters of seismic impact and characteristics of soil conditions, i.e., in another way. It undoubtedly increases the foundation of its usage in practical works of seismic microzonation.

It is interesting that, at small differences of soil weight-average frequencies, i.e., when $f_1 \approx f_2$, Eq. (32) is transformed to the known formula of S. V. Medvedev (Medvedev, 1962):

$$\Delta I = K \lg(A_1 / A_2), \quad (34)$$

where A_1 and A_2 are vibrational amplitudes of comparing soils.

Thus, the indices of nonlinearity differ from linear vision exceptionally by the presence or taking into account of vibration frequency values in the corresponding expression. This question needs more strict investigation, as the existing tools of SMZ, which are empirical, take into account soil nonlinearity to this or that extent. But, nonlinearity at that is taken into account in the best case; likewise linearized solutions of nonlinear equations, which are strongly not up to their accuracy. And even if, in some cases, the results approximate to real displays of nonlinearity, then they, firstly, can make larger mistakes and, secondly, they do not need testing. Thus, the problem consists in taking soil nonlinear properties into account in a stricter way at the assessment of soil seismic properties.

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5.4 Soil patterns of behavior at variable impact intensity

Aforesaid shows how important it is to investigate soil nonlinear indices in order to assess their seismic properties. In particular, the parameter of the “real spectrum square” is necessary for soil intensity increment calculation, accounting for their nonlinearity degree. In this connection, it is interesting from scientific and practical points of view to consider how values of real spectrum squares depend on impact intensity. In other words, it is important to investigate soil behavior at variable impacts.

At intensive dynamic loads in “weak” (and sometimes not weak) soils or soils highly sensitive to the external impact, the conversion from one mode of deflection to another, particularly, conversion from elastic conditions to non-elastic, takes place. It considerably changes the soil condition influence on the visible intensity effect of an earthquake, which is characterized by the value of a real vibration spectrum square.

It was shown previously that the non-elasticity phenomenon is characterized by the decrease in values of a real spectrum square. Such a decrease is caused by the reduction, in turn, in elastic absorption of energy introduced by the soil stratum. Indeed, at increasing influence within elastic deformations, energy absorption grows in direct proportion to intensity. If the given soil (a real soil stratum with a definite lithologic compound of a pack of soil strata) reaches an ultimate stress or if the soil exhausts the potentialities of energy intensity absorption, then soil structural links get broken. Non-elastic deformations of soil appear at that. It causes a decrease in the energy, consumable on the formation of proper vibration, i.e., elastic motion of particles at the position of their balance. In connection with the fact that a real spectrum square is in direct proportion to the energy absorption, the corresponding decrease in a real spectrum square should be expected.

The sharpest absorption decrease corresponds to nonlinear process, when the signal frequency moves to the HF spectrum region, which is highly absorbed by soils. Spectrum “exhaustion” of HF components slows down an absorption velocity. At large intensities, the spectrum peak value (maximum amplitude), which leads to the increase

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in absorption by “newly formed” soil mass, etc., begins to play the main role. The absorption will not increase during loading in the case of the soil liquefaction, but not soil “shaking up”, which brings consolidation to it.

Thus, the real spectrum square that results, integral characteristics of simultaneous influence of the phenomena of absorption, dispersion and nonlinearity, will react to the indefinitely small displays of soil behavior features at intensive variable impact.

Let us consider different models of stress–strain behavior of soils at variable levels of seismic impact (Zaalishvili, 2000) (Fig. 13).

At the relatively small impact, soil strata are characterized by a linear–elastic pattern of behavior, when at impact increase a reduction is observed in the frequency of soft soils relative to the frequency of rocks, the motion of which can be considered as a reference, i.e., an undistorted initial seismic impact with a simultaneous increase in the real spectrum square.

At the further impact increase, the moment when some part of the vibration energy “transfers” to the HF spectrum region, begins. The square of the real spectrum at that does not change. The peak value decreases with a simultaneous frequency shift. This is the so-called nonlinear–elastic soil pattern of behavior. The following increase in the impact intensity brings the decrease in the real spectrum square. The soil is characterized by nonlinear–elastic behavior (frequency moves to the LF spectrum region). And, finally, at quite strong load frequency shift to the HF field is absent; it is directed to the LF spectrum region. The real spectrum square remains small or decreases even further. This is the so-called linear–non-elastic soil deformation.

The features of vibration spectra of soil stratum, composed of clay in Leninakan (Guymri nowadays) and in Kirovakan, can be considered to be the example (Fig. 14, Khalturin et al., 1989). Such factual data, undoubtedly, must be subjected to a deeper analysis than is realized at present.

Aforesaid shows that, on the one hand, the intensity increment, which is calculated, for example, on the basis of a value of real vibration spectrum squares, has a physical meaning exceptionally within linear–nonlinear elastic deformations. On the other hand,

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the obtaining of a final, non-zero value of the increment for soils, which are logically characterized by it, is the inherent index of a definite physical condition of elastic soil.

6 Conclusions

All empirical tools of SMZ suppose (or they must suppose) the taking into account of nonlinear soil properties. It lies in the SMZ essence. At the same time, the problem, obviously, consists in the following: how soil nonlinearity is taken into account and how impartial such a taking into account is.

The execution of the practical realization of the techniques of soil nonlinearity determination on the basis of assessment of their strain sensitivity (vibro-sensitivity), constant component of displacement and square of real vibration spectrum in areas with known displayed intensity of destructive earthquakes allowed one to obtain a number of proven conclusions.

The identity of the nonlinearity index was determined in the form of strain-sensitivity characteristics and introduced again the index in the form of the product of the value of the peak spectrum on the weighted frequency of ground vibrations of compared areas. The empirical formula allows one to calculate the intensity increment by taking “pure” nonlinearity of soils into account.

Execution of calculation analysis on impulse and vibration impact allowed one to validate the techniques of the assessment of soil nonlinearity on the basis of comparison results of numerical and experimental methods. The techniques of the assessment of the soil nonlinearity degree on the basis of comparison of numerical methods in linear settings and real vibration spectra are given.

The parameters, closely connected with absorption and soil nonlinearity, were disclosed on the basis of the analysis of strong motion instrumental records. The empirical formulas, which connect the squares of normalized and real spectra with the parameters of seismic impact (magnitude, acceleration, epicentral distance, duration and weight-average frequency of ground vibrations), are obtained by regression anal-

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ysis. The difference between absorption mechanisms in dispersal (soft) soils and rocks was determined. The proportionality of the soil nonlinearity degree to the product of the amplitude of a vibration spectrum peak value at the weight–average frequency was confirmed on the basis of the conception of the integral influence of the phenomena of absorption and nonlinearity on the resulting soil motion. Taking into account the impact level allows one to avoid usage of the traditional (obviously incompatible with nature) constancy of intensity increment.

The absorption is mainly determined by earthquake amplitude in soft soils, while in firm soil, it is determined by acceleration. In the near zone of the source at nonlinear deformations, the energy extends to the high-frequency range of spectrum and the phenomena of considerable absorption are observed. Sometimes it causes the mixture of “absorption” and “nonlinearity” phenomena. The spectrum is saturated by high-frequency components at nonlinearity display and, at the absorption, the spectrum becomes low frequency.

For registration of ultra-high accelerations (at nonlinear deformations in the epicentral zone of the earthquake), it is necessary to extend the level of registration of soil accelerations upward from the traditionally accepted boundary of 2g. It is necessary to improve completely the level of data resolution at analysis of hard motion in order to disclose momentary or “short-lived” ultra-high reactions.

Soil patterns of behavior (at dynamic impact of variable intensity) are offered on the basis of the consideration of a real spectrum square and a value of the weight–average frequency, which characterize linear–nonlinear and elastic–non-elastic soil deformation.

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Table 1. Engineering–geological conditions and parameters of the corresponding SMACH network instrumental records.

Engineering–geological conditions of the site	Intensity increment, ΔI , degree at earthquake magnitude		
	$M = 3.0$	$M = 5.0$	$M = 5.3$
(a) Macroporous clay ($h = 10.0$ m)	–	–	–
(b) Pebbles with sandy argillaceous filler (> 30 %, $h = 5.0$ m)			
(c) Weakly weathered limestones			
(a) Weathered limestones ($h = 10.0$ m)	–2.30	–1.48	–0.84
(b) Weakly weathered limestones			

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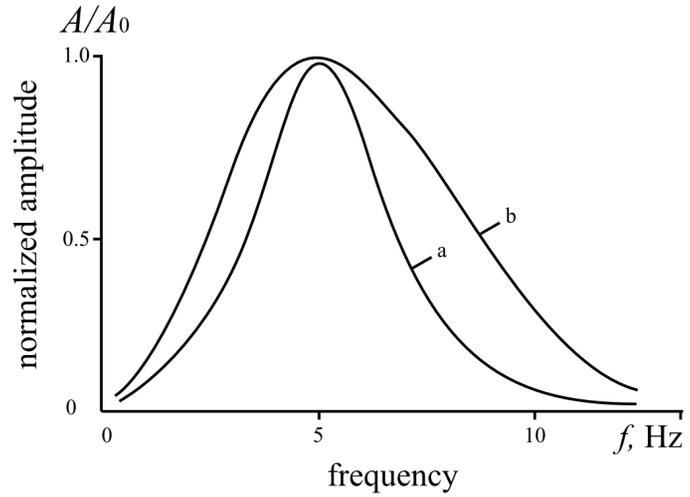


Figure 1. Evolution of the initial seismic signal (a) in the nonlinear elastic medium (b).

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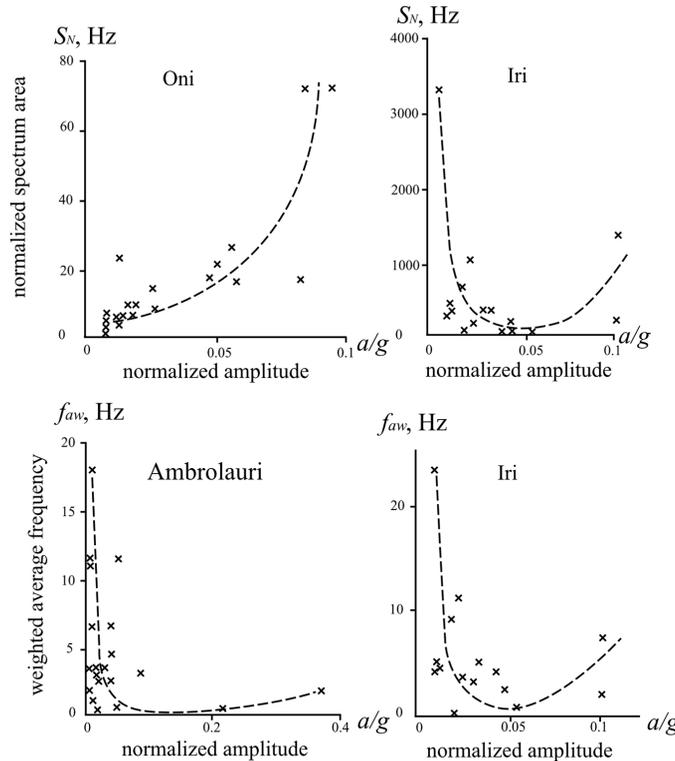


Figure 2. Indices of soil motion in the function of normalized acceleration $a g^{-1}$ (Georgia, 1991).

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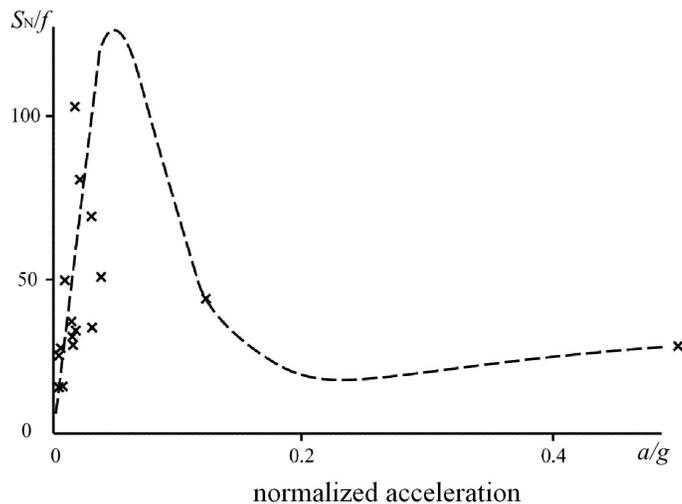


Figure 3. Dependence of the S_N/f_{aw} parameter on normalized acceleration ag^{-1} .

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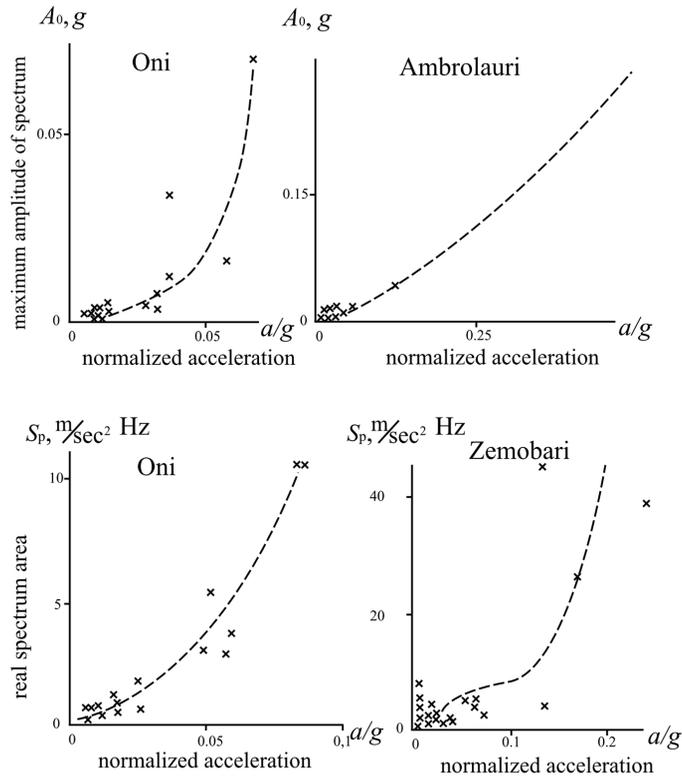


Figure 4. Indices of soil motion in the function of normalized acceleration $a g^{-1}$ (Georgia, 1991).

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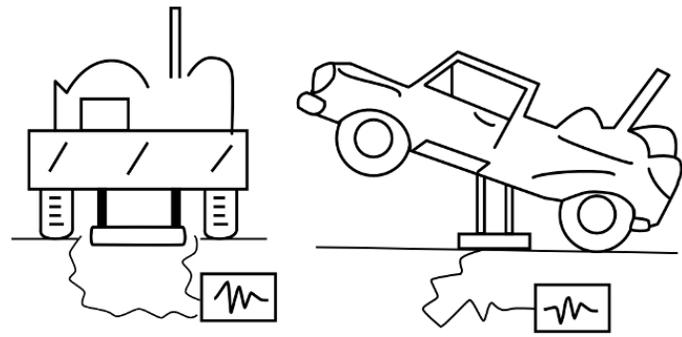


Figure 5. Determination of the nonlinearity in the form of strain sensitivity of soil.

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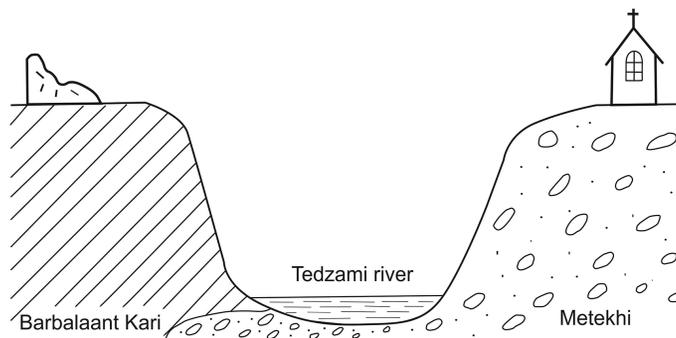


Figure 6. The areas with different intensity effects of the earthquake.

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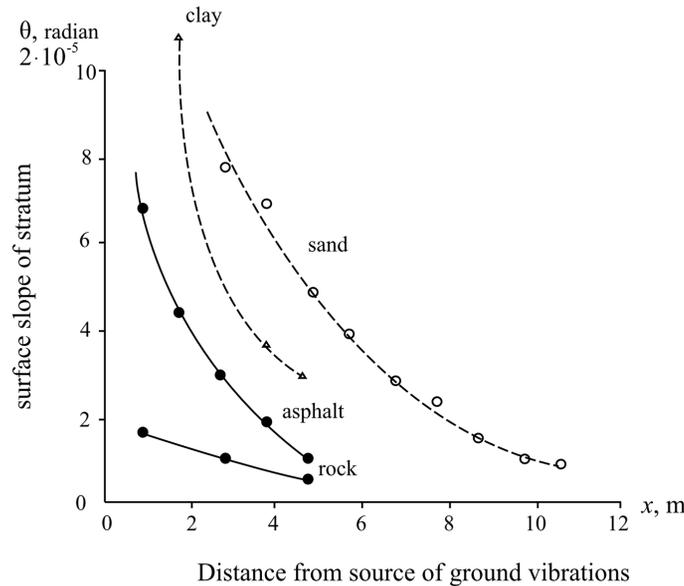


Figure 7. Dependence of the slope of the ground on the distance from the source (Uznozh, Belarus, 1992; Dedoplistskaro, Georgia, 1992).

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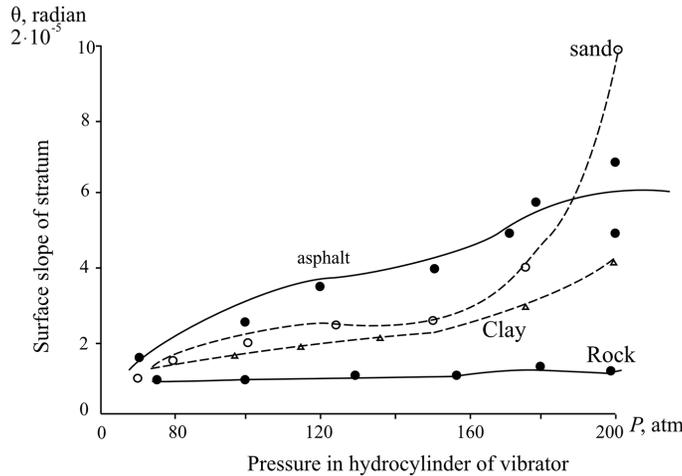


Figure 8. Influence of pressure in the hydraulic cylinder of the vibrator on the seismic radiating effect (Uznoz, Belarus, 1992; Dedoplistskaro, Georgia, 1992).

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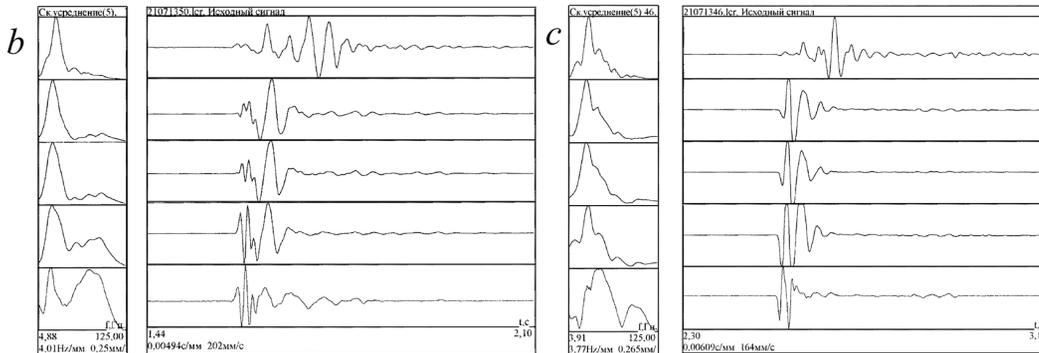
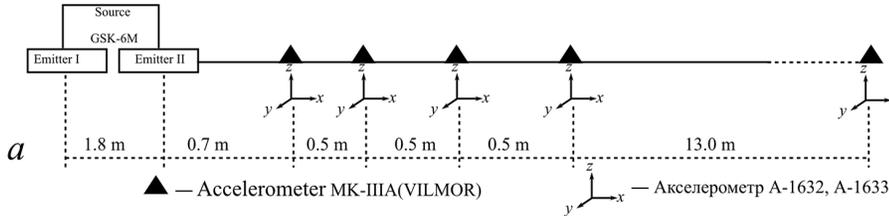


Figure 9. Investigations of spectral features from the source GSK-6M: **(a)** profile measurements of the area; **(b)** record of ground vibrations from the second hammer; **(c)** record of ground vibrations from the first hammer.

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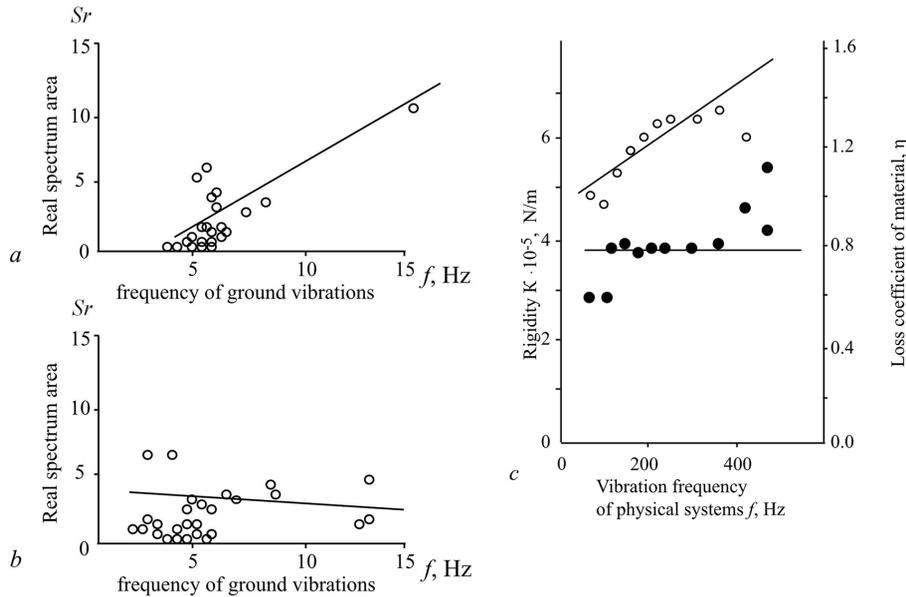


Figure 10. Dependence of real spectrum square of rocks (**a** – Oni) and soft soils (**b** – Ambrolauri) on vibration frequency; dependence of rigidity and material loss coefficient on vibration frequency (**c**).

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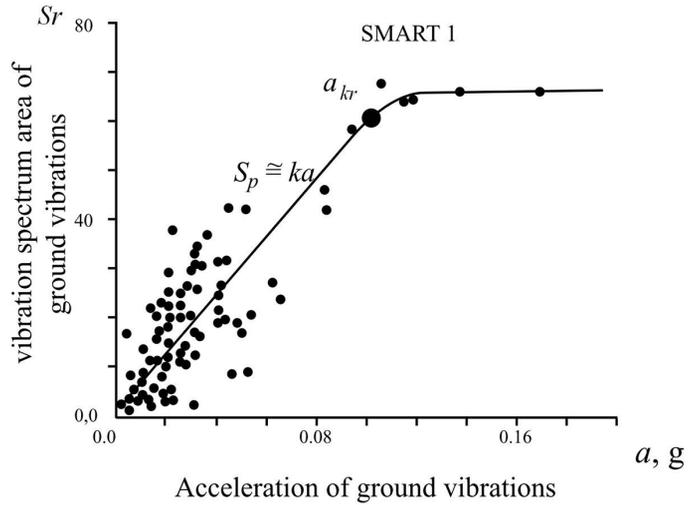


Figure 11. Dependence of real vibration spectrum square on acceleration (Taiwan).

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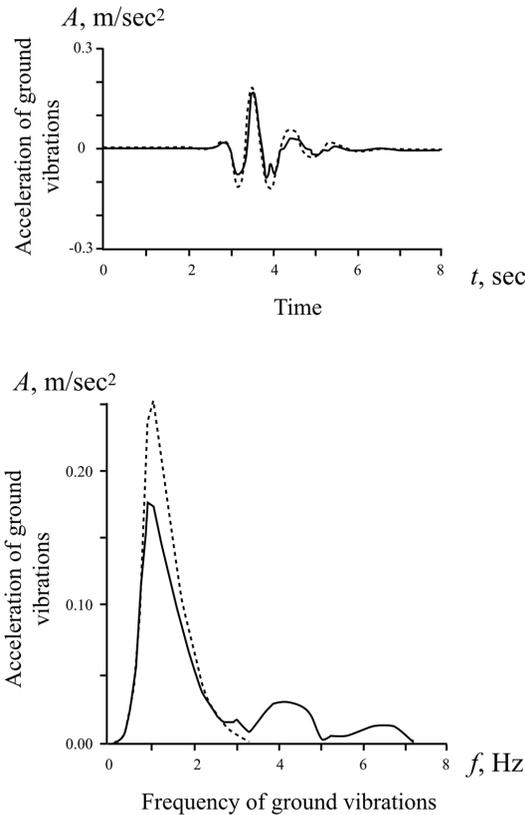


Figure 12. Comparison of the design accelerograms and corresponding Fourier spectra in linear and nonlinear solutions: the dotted line means a linear solution.

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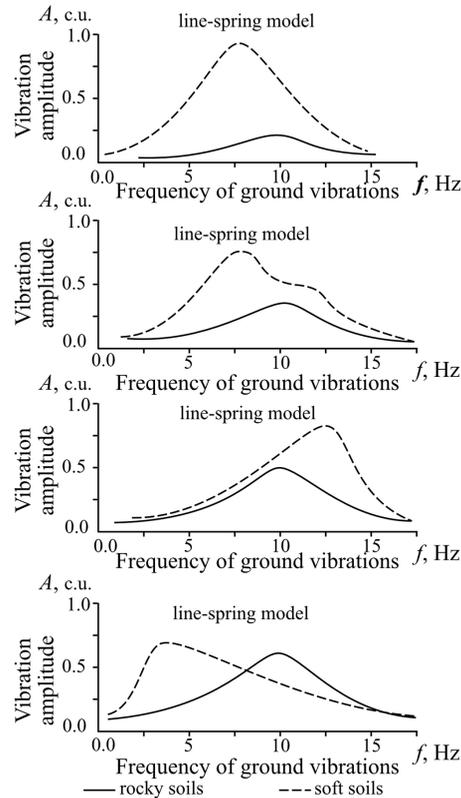


Figure 13. Models of soil behavior on varying impacts.

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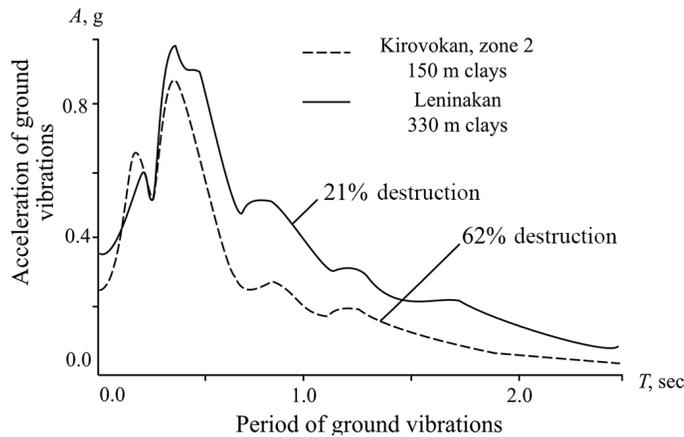


Figure 14. Spectra of ground vibrations in Kirovakan and Leninakan.

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